

***ASPIRATIONAL ETHICS:***  
**ALIGNING VALUES WHILE DELIVERING**  
**TRAUMA INFORMED CARE**

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# TRAUMA, SELF, & SPIRITUALITY

- Trauma is *dispiriting* by its very nature
- Trauma can involve a shattering of...
  - Defining of the self
  - Life assumptions
  - Spirituality
  - Meaning

***“I have a hole in my soul. . . I am not the person I might have been”***



# AN ECOLOGICAL VIEW

- Although improving, trauma-specific training is not included in many provider training programs (individual awareness)
- Many clients and patients seeking care have been mistreated or even re-abused by systems and providers designed to help them (systemic awareness and response)



# BIOMEDICAL ETHICAL PRINCIPLES

- **Respect for Autonomy:** requires healthcare professionals to respect the patient's ability to make decisions and control the course of care
- **Beneficence and Non-maleficence:** healthcare professionals should avoid causing additional emotional distress
- **Justice:** Health professionals must be aware of and work to reduce health disparities and their effects



# ETHICS MEETS TRAUMA INFORMED CARE

<b>Ethical Principle</b>	<b>Trauma Informed Care Principle</b>
Autonomy	Ensuring empowerment, voice, and choice with collaboration and mutuality
Beneficence	Safety, Trustworthiness, and Transparency
Justice	Consideration of cultural, historical, and gender issues; incorporation of peer-to-peer support



# KEY ETHICAL ISSUES WHEN ADDRESSING TRAUMA-RELATED CONCERNS

- Competence/expertise of provider
- Personal wellness of provider
- Willingness to learn and to “treat”
- Boundaries and “rescuing”
- Safety Risk
- Helpful vs. harmful interventions; applying evidence/standard of care
- Maltreatment disclosure and confronting perpetrators



# POWER OF RELATIONSHIP

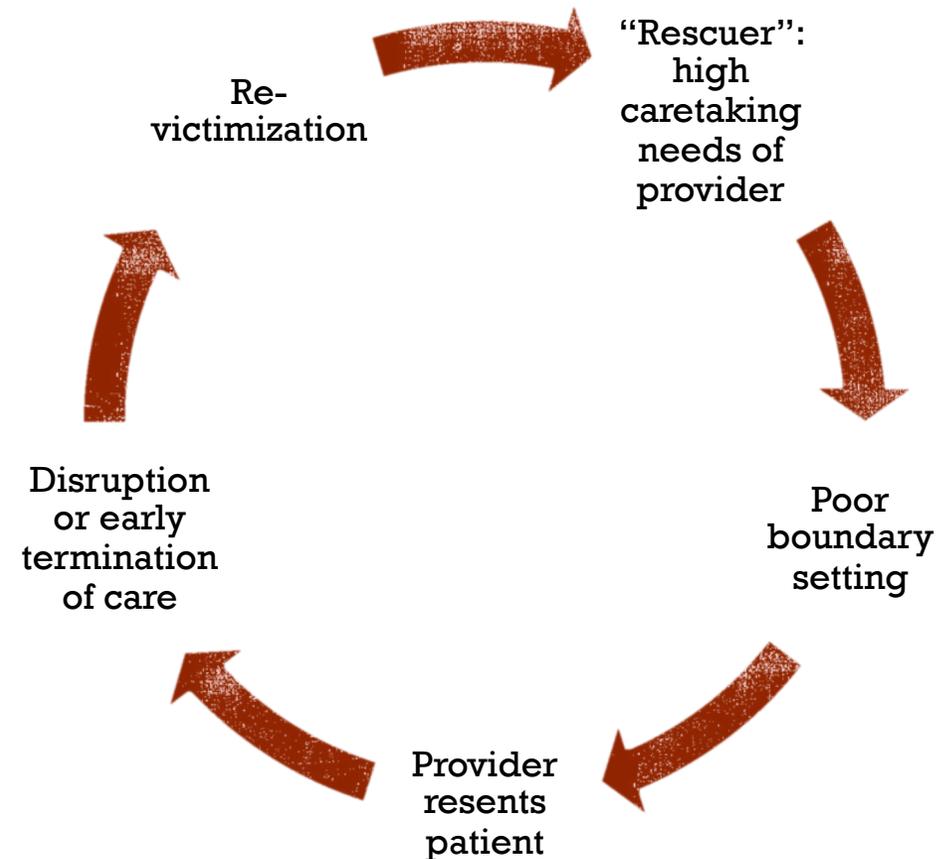
- Interpersonal trauma requires a *relational* approach to healing
- Response to inevitable ruptures in relationship is even more critical. Provider should:
  - Own mistakes
  - Share feelings in the moment, with discretion
  - Avoid blaming
  - Act as a partner, not an expert



# BOUNDARIES AND TRAUMA

- Boundary violations in the context of trauma are a **red flag** and can be very common
- Provider has ethical responsibility set and maintain boundaries/limits
  - Availability, disclosure, touch, gifts, etc.
- Avoid dual roles

## Rescuing-Revictimization Cycle



# **SAFETY**

- Individuals with complex trauma histories may be at increased risk for safety concerns, which should be identified and addressed:
  - Harm to self: self injury, substance use, risk taking behavior, etc.
  - Harm from others: domestic violence, revictimization
  - Harm to third parties: intergenerational trauma, family discord
- Safety must be established before any further intervention can be effectively applied



# **MEANINGFUL & ETHICAL USE OF SCREENING & ASSESSMENT**

- Explosion in use of ACE-related screeners
- Routine screening enhances more accurate detection
- Explaining rationale and purpose, with emphasis on patient autonomy
- Providing feedback and next steps after completion
- Referral to outside services and support if warranted



# TALKING ABOUT IT: *HELPFUL OR HARMFUL?*

- Psychological debriefing:
  - Recalling details of traumatic event soon after event occurred
  - Once thought to be effective, but now known to be ineffective or even harmful
- Other structured, exposure based therapies, such as EMDR, Prolonged Exposure, and Trauma-Focused CBT have good evidence in randomized trials



# IMPACT OF CULTURE, IDENTITY, & POWER

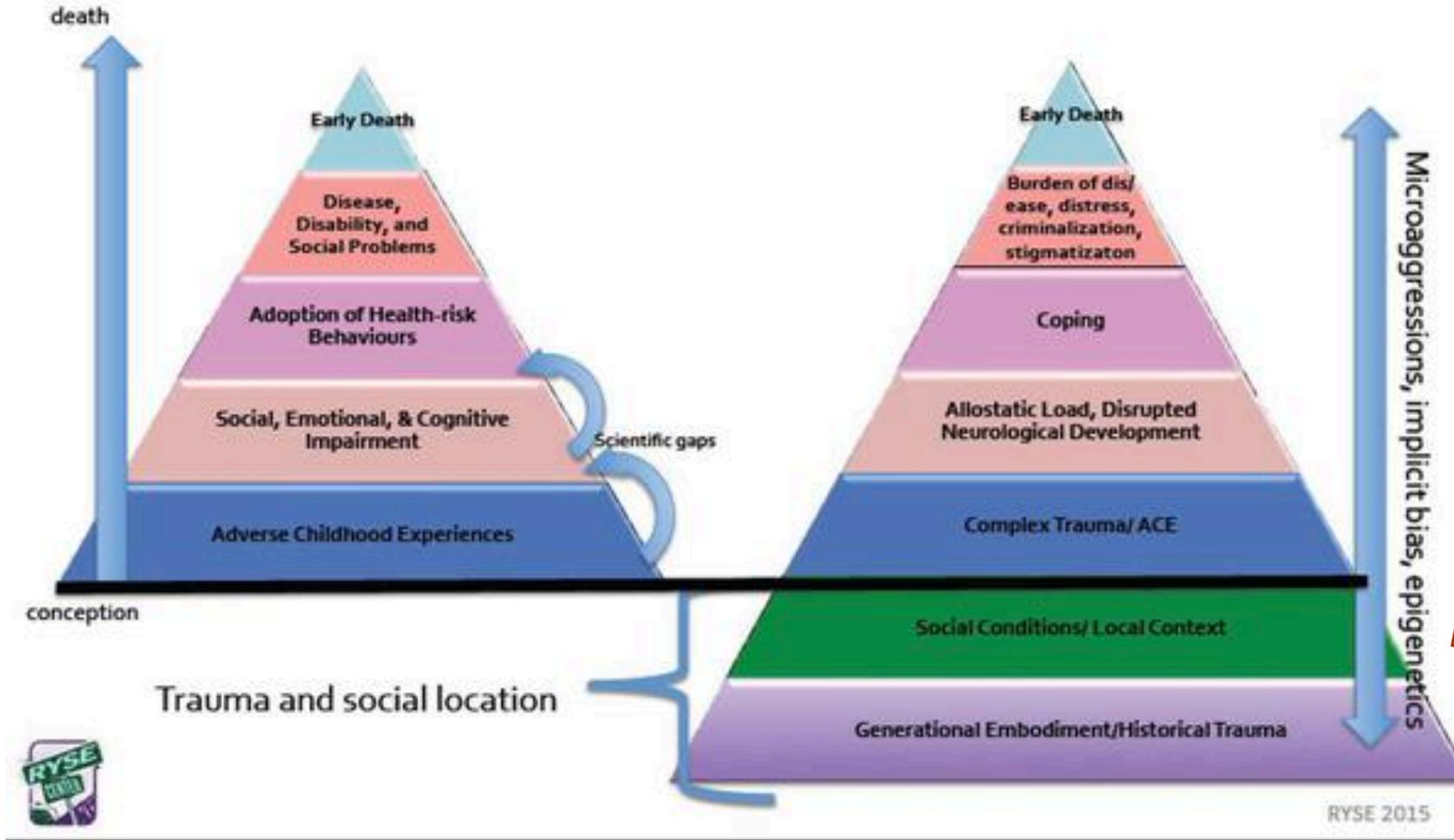
- The cultural identity of both the patient and the provider are important ethical considerations when responding to trauma – particularly as it relates to historical racism and disempowerment
- Relational patterns from the past DO play out in the current helping relationship – particularly when working with complex trauma populations
  - Objectivity is ideal, subjectivity inevitable – *“Healer, Know Thyself”*
- As providers, we have a responsibility to help patients speak about the unspeakable, even when those conversations are difficult



# Trauma and Social Location

Adverse Childhood Experiences

Historical Trauma/Embodiment



**Additional ethical implications and considerations**



# ETHICAL DECISION MAKING

- It is not enough to simply be aware of ethical dilemmas, we must also have a formal decision-making process to address them
- Introduces a degree of rationality and rigor
- Most ethical dilemmas are not *right vs. wrong*, but *right vs. right*
  - It is right to respect autonomy, it is right to prevent harm
  - It is right to uphold confidentiality, it is right to protect the welfare of others



# COMMON DILEMMAS

- Truth vs. Loyalty
  - Duty to parent/caregiver vs. duty to minor patient
- Individual vs. Community
  - Patient needs vs. Family needs
- Short-term vs. Long-term
  - Work demands vs. Family demands
- Justice vs. Mercy
  - Respect for autonomy vs. Respect for others



# TAKING AKASHA IN CONTEXT

- African American woman with 3 children, significant trauma history, and multiple environmental risk factors
- It is our job to wonder...
  - What might her experiences in help-seeking have been like in the past?
  - What influence might my own cultural identity and power bring to this relationship?
  - What are my initial reactions to Akasha and her family? Where am I pulled or challenged?
  - How might Akasha's current actions reflect a way to cope with her past and survive?
  - What strengths does Akasha bring?



# AKASHA: TRUTH VS. LOYALTY

- Competing demands of supporting Akasha (mom) and also safeguarding the welfare of her 3 minor children – ages 12, 9, and 7 – each with their own perspective and needs
- Who is the “patient” in this scenario? To whom is the provider’s primary duty and responsibility?
- **Potential risks to consider:**
  - Unstable housing
  - Unclear access to basic needs – food, clothing, shelter
  - Implications of Akasha’s mental health and medical issues on her caregiving
  - Intervention concern vs. Reportable concern



# AKASHA: INDIVIDUAL VS. COMMUNITY

- Akasha presents with many individual needs...
  - History of complex trauma with limited supports
  - Inconsistent access to housing and basic needs
  - Financial instability
  - Transportation barriers
  - Mental health concerns and self-harm history
  - Medical concerns with no medical home
  
- Yet there are also additional family needs
  - Access to services and medical care for children
  - Risk for neglect or other traumatic events
  - Engagement in school



# AKASHA: SHORT-TERM VS. LONG-TERM

- Dilemma of work needs vs. child care needs of a single mother
- Stable financial resource may alleviate many of the risk factors for Akasha and her family
- For complex trauma populations, long-term planning and routines are often disrupted
- How will Akasha's absence from the family impact other safety concerns?
  - Supervision
  - Meeting basic needs
  - Access to resources
  - ***Recommendations need to be practical for Akasha's situation***



# AKASHA: JUSTICE VS. MERCY

- If Akasha is the primary patient, we have an ethical duty to respect her autonomy and empower her to make decisions about her wellbeing
- At the same time, we are mandated to report concerns for child protection
- Providers are not investigators – use the “reasonable suspicion” principle
- Partnering with parents/caregivers when making CPS reports
- Monitoring our own need to “caretake” – significant family risk factors can lead to feelings of provider helplessness, but this does not necessarily constitute concerns for child protection warranting confidentiality breach.



# SUMMARY

- Consistent consideration of ethical practices is essential to trauma informed care
- Applying a formal frameworks and guidelines to ethical decision-making enhances ethical practice
- Working with complex trauma populations is challenging and requires trauma-focused training, self-reflection, cultural considerations, and attention to safety and the helping relationship

